

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
                        Andrew H. Pritchard         )  
Application No:         )         Art Unit:         3624  
                        09/927,628                 )  
Filed:         August 10, 2001                 )  
For:         SYSTEMS AND METHODS FOR         )  
                        DEVELOPING AND                 )  
                        ADMINISTERING INVESTMENT         )  
                        TRUSTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PRELIMINARY AMENDMENT**

Although no fee is believed to be due, the Commissioner is authorized to charge  
any deficiencies or credit any overpayments to Deposit Account No. 50-3938.

**Amendments to the Claims** are reflected in the listing of claims which begins on  
page **2** of this paper.

**Remarks** begin on page **6** of this paper.